

**DESIGN, MANUFACTURE, SUPPLY, INSTALLATION, TESTING AND
COMMISSIONING OF MACHINE ROOM-LESS & GEAR-LESS LIFTS
(LOT-1) AND HEAVY DUTY ESCALATORS (LOT-2) FOR AHMEDABAD
METRO RAIL PHASE-II PROJECT**

Bid: GMRC/L&E/3

Part – 1: Bidding Procedures
Section III - Evaluation and Qualification Criteria

Table of Contents

PART 1	Bidding Procedures
Section I.	Instructions to Bidders (ITB)
Section II.	Bid Data Sheet (BDS)
Section III.	Evaluation and Qualification Criteria (EQC)
Section IV.	Bidding Forms and Schedules
Section V.	Eligibility Criteria
Section VI.	AFD Policy – Corrupt and Fraudulent Practices – Environmental and Social Responsibility
PART 2	Employer’s Requirements
Section VII A.	Employer’s Requirements – General Specifications (ERGS)
Section VII B.	Employer’s Requirements Technical Specifications-Lifts (ERTS-Lifts)
Section VII C.	Employer’s Requirements Technical Specification-Escalators (ERTS-Escalators)
Section VII D.	Tender Drawing (CD)
Section VII E.	Safety, Health and Environment (SHE) Manual
PART 3	Conditions of Contract (CC) and Contract Forms
Section VIII	General Conditions (GCC)
Section IX	Particular Conditions (PCC)
Section X	Contract Forms

Section III: Qualification and Evaluation Criteria

1 Evaluation of Eligibility and Qualification

1.1 Completeness and Responsiveness of the Qualification Document

Completeness and responsiveness of the Initial Filter cum Qualification Requirement Bid will be assessed according to the Responsiveness Criteria of **ITB 30.1**.

1.1 A. Qualification

(i) Exchange Rate for Qualification Criteria

Wherever a Form in Section IV, Bidding Forms, requires a Bidder to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- a) For turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year.
- b) Value of single Contract - Exchange rate prevailing on the date of the Contract.

Exchange rates shall be taken from the publicly available source identified in BDS 36.1 (TT Selling rate of State Bank of India (SBI)) or, in case such rates are not available in the source identified above, any other publicly available source acceptable to the Employer. Any error in determining the exchange rates may be corrected by the Employer.

(ii) Qualification Criteria for Multiple Contracts

Criteria for qualification are the aggregate minimum requirements, or any other reasonable requirements set forth by the Employer, for the respective lots as specified under Financial Situation and Experience, Sub-Factors 3.1(i), 3.1(ii), 3.4, 4.2 (a) and 4.2 (b) below.

1.2 Evaluation of Eligibility and Qualification

The following table contains all criteria and requirements the Bidders shall meet to be considered as eligible and qualified for the execution of the works and services:

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
1. Eligibility							
1.1	Nationality	Nationality in accordance with ITB 4.3	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI-1.1, and ELI-1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITB 4.2	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of IFCQR Bid
1.3	AFD Eligibility	Not being ineligible for AFD financing, as described in ITB 4.3	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Statement of Integrity and Environmental and Social Responsibility
1.4	State-Owned Entity	Meet conditions of ITB 4.3	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI-1.1, and ELI-1.2, with attachments
Criteria			Requirements				

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
2. Historical Contract Non-Performance							
2.1	History of Non-Performing Contracts	Termination of a contract ¹ did not occur as a result of contractor's default in the past five (5) years	Must meet requirement	Must meet requirement	Must meet requirement ²	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid Securing Declaration by the Employer	Not under suspension based on execution of a Bid Securing Declaration pursuant to ITB 4.5	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of IFQR Bid
2.3	Pending Litigation	All pending litigation shall in total not represent more than one hundred percent (100%) of the Applicant's net worth and shall be treated as resolved against the Applicant	Must meet requirement	N/A	Must meet requirement	N/A	Form CON-2

- 1 Non-performance shall include all terminations of contracts where (a) non-performance was not challenged by the Bidder, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the Bidder. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism.
2. This requirement also applies to contracts executed by the Bidder as JV member.

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
3. Financial Situation and Performance							
3.1	Financial Capabilities: Liquidity	(i) It is necessary that the firm can withstand the Cash Flow that the contract will require until payment received from Employer. Liquidity therefore becomes an important consideration. (ii) This can be seen from the balance sheets and/or from the banking reference. Net current assets {(Current assets + loans & advances) – (current liabilities + provision)} or documents including banking reference, should show that the Bidder has access to or has available liquid assets, lines of credit and other financial means to meet cash flow For Lot-1 INR 50.0Million For Lot-2 INR 85.0Million For both Lots-(1+2) Combined INR 135.0Million for this contract, net of Bidder’s commitments for other Contracts. Banking reference should contain in clear terms that in case LOA is issued to the Bidder, the bank will be in a position to lend for this work to the Bidder/member of the Joint Venture/Consortium preferably in the form given in Section IV: Bidding Forms and Schedules - Bidder’s Qualification- Form FIN-3.3(b). In case the Net Current	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN-3.1 with attachments, Form FIN-3.3 (a), and Form FIN-3.3 (b)

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		<p>Assets (as seen from the Balance Sheets) are negative, only the Banking references will be considered. Otherwise the aggregate of the Net Current Assets and submitted Banking references will be considered for working out the Liquidity.</p> <p>Liquidity of For Lot-1 INR 50.0 Million available: Pass</p> <p>Liquidity of For Lot-2 INR 85.0 Million available: Pass</p> <p>Liquidity of For both Lots-(1+2) Combined INR 135.0 Million available: Pass</p> <p>Liquidity of For Lot-1 INR 50.0 Million not available: Fail</p> <p>Liquidity of For Lot-2 INR 85.0 Million not available: Fail</p> <p>Liquidity of For both Lots-(1+2) Combined INR 135.0 Million not available: Fail</p> <p>(iii) In case of a Joint Venture/Consortium, the above evaluation will be done in totality after applying pro-rata percentage participation of each member and not as individual member. For e.g., if there are three members in a Joint Venture/Consortium with pro-rata percentage participation of 'A%', 'B%' and 'C%' and the calculated liquidity of the respective members are 'X', 'Y' and 'Z', then the evaluation for the above criteria will be based on the pro-rata percentage applied algebraic aggregate i.e. 'AX+BY+CZ'.</p>					
3.2	Financial Capabilities: Other Sources of Finance	The Bidder shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN-3.1 and Fin-3.4 with attachments

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		cash flow requirements on works currently in progress and for future Contract commitments.					
3.3	Financial Capabilities: Financial Position	<p>(i) The minimum requirements to 'Pass' this criteria is that the Balance sheets should indicate that the Net Worth of the Bidder (to be obtained from audited Balance Sheet) shall be positive in the audited statement of any 3 of the last 5 financial years for each lot. The financial year as applicable in the country of origin of the bidders would be considered. The 'last financial year' will be the latest financial year that ended on or before 31.03.2021.</p> <p>(ii) In case of a Joint Venture/Consortium, the above evaluation will be done in totality after applying pro-rata percentage participation of each member and not as individual member. For e.g., if there are three members in a Joint Venture/Consortium with pro-rata percentage participation of 'A%', 'B%' and 'C%' and the Net Worth of the respective members are 'U', 'V' and 'W', then the evaluation for the above criteria will be based on the pro-rata percentage applied algebraic aggregate i.e. 'AU+BV+CW'.</p>	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN-3.1 with attachments
3.4	Average Annual Turnover	<p>(i) The minimum requirements to 'Pass' this criteria is that the Average Annual Turnover for the last five financial years for Lifts for Lot-1, Escalators for Lot-2 and both (Lift & Escalator) For both Lots-(1+2) manufacture only (in terms of rupee equivalent adjusted to last date of the financial year that ended on or before 31.03.2021. by assuming 5% escalation for Indian Rupee and 2% for foreign currency per year) shall not be less than;</p>	Must meet requirement	Must meet requirement	Must meet Twenty Five percent (25%) of the requirement	Must meet forty percent (40%) of the requirement	Form FIN-3.2 (a) and Form FIN 3.2 (b)

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		<p>For Lot-1 INR 300.0 Million</p> <p>For Lot-2 INR 530.0 Million</p> <p>For both Lots-(1+2) Combined INR 830.0 Million</p> <p>(ii) The value of annual turnover shall be calculated as total certified payments received for Contracts in progress and/or completed, within last Five Financial years divided by Five years.</p> <p>(iii) The financial year as applicable in the country of origin of the bidders would be considered. The 'last financial year' will be the latest financial year that ended on or before 31.03.2021.</p> <p>(iv) In case of a Joint Venture/Consortium, the above evaluation will be done in totality after applying pro-rata percentage participation of each member and not as individual member. For e.g., if there are three members in a Joint Venture/Consortium with pro-rata percentage participation of 'A%', 'B%' and 'C%' and the Average Annual Turnover of the respective members are 'X', 'Y' and 'Z', then the evaluation for the above criteria will be based on the pro-rata percentage applied algebraic aggregate i.e. 'AX+BY+CZ'.</p>					
3.5	Available Bid Capacity	As per below mentioned methodology.	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN-3.2 (b), FIN-3.4(a) & FIN-3.4(b)
Methodology for Working out the Available Bid Capacity							

Criteria			Requirements			Documentation Requirements / Forms	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member		One Member
<p>The Bidders will be qualified only if their available bid capacity is more than;</p> <p>For Lot-1 INR 300.0 Million</p> <p>For Lot-2 INR 530.0 Million</p> <p>For both Lots-(1+2) Combined INR 830.0 Million.</p> <p>Available bid capacity will be calculated as under:</p> <p>Available Bid Capacity = 2AN-B</p> <p>Where,</p> <p>A = Maximum value of works executed in any one year during the last five financial years only audited value as certified by Chartered Accountant and as contained in the corresponding Audited Annual account Statement/Book of accounts of the concerned financial year to be considered and will be updated to 31.03.2021 price level assuming 5 % inflation for Indian Rupees every year and 2% for foreign currency portions per year. Financial year as applicable in the country of origin of the bidders would be considered.</p> <p>N = No. of years in which supply and commissioning is to be made (N = 1.49 years in this case)</p> <p>B = Value [at price level as on the twenty-eight days prior to 'date for Bid submission' of on-going works (works in hand) to be completed during next 1.5 years starting from the twenty-eight days prior to 'date for Bid submission'. The value of ongoing works (works in hand) ('B' in the above formula) as furnished in response to Section-IV: Qualification and Bidding Forms: Form FIN-3.4 (a) and FIN-3.4 (b) shall be considered. The 'twenty-eight days prior to date for Bid submission' means for e.g: Say, if 'date for Bid submission' is 15.01.2020, then 'twenty-eight days prior to date for Bid submission' will be 18.12.2019 and next 1.8 years period will be period up to 16.09.2021.</p> <p>Note:</p> <p>In case of a Joint Venture/Consortium, the above formula will be applied to each member to the extent of his proposed percentage (%) participation in the execution of the work. The Bid Capacity of the Joint Venture/Consortium will be the sum total of the Bid Capacity of each member of the Joint Venture/Consortium as calculated above.</p> <p>Note:</p> <p>The Bidder (each member of the JV / Consortium, in case of bidding as a JV) shall provide the undertaking that they are not in the process of financial restructuring under Corporate Debt Restructuring Act</p>							

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
For Clause 3.3 of EQC, the Profit before tax shall be positive in at least two years out of the last five audited financial years; and the Net Worth shall be positive as per the latest audited balance sheet.							
4. Construction Experience							
4.1	General Experience –	Experience under contracts in the role of prime contractor (single entity or JV member), Subcontractor, or management contractor(i) for at least the last five (5) years starting 1st January 2017.	Must meet requirements	N/A	Must meet requirements	N/A	Form EXP-4.1
4.2 (a)	Specific Experience – for Lot-1	A minimum number of One (1) similar (ii) contract of 75 nos. Lifts or above, comprising of, Design, Manufacture, Supply, Installation, Testing and Commissioning of Machine Room-Less & Gear-Less lifts for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport or other large infrastructure works involving large public gathering/movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and substantially(iii) completed as a prime contractor (single entity or JV member)(iv) , management contractor, subcontractor(iv) between 1st January 2012 and the Bid submission deadline. OR A minimum number of Two (2) similar(ii) contracts each of 47 nos. Lifts or above, comprising of, Design, Manufacture, Supply,	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP-4.2 (a) /Lot-1

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		<p>Installation, Testing and Commissioning of Machine Room-Less & Gear-Less lifts for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport or other large infrastructure works involving large public gathering/ movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and substantially(iii) completed as a prime contractor (single entity or JV member)(iv) , management contractor, subcontractor(iv) between 1st January 2012 and the Bid submission deadline.</p> <p>OR</p> <p>A minimum number of Three (3) similar(ii) contracts each of 37 nos. Lifts or above, comprising of, Design, Manufacture, Supply, Installation, Testing and Commissioning of Machine Room-Less & Gear-Less lifts for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport or other large infrastructure works involving large public gathering/ movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and substantially(iii) completed as a prime contractor (single entity or JV member)(iv) , management contractor, subcontractor(iv) between 1st January 2012 and the Bid submission deadline</p>					
	Specific Experience – for Lot-2	A minimum number of One (1) similar(ii) contract of 78 nos. Escalators or above, comprising of, Design, Manufacture, Supply, Installation, Testing and Commissioning of Heavy duty Escalators for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport or other large infrastructure	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP-4.2 (a) for Lot-2

Criteria			Requirements			Documentation Requirements / Forms	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member		One Member
		<p>works involving large public gathering/ movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and substantially⁽ⁱⁱⁱ⁾ completed as a prime contractor (single entity or JV member)^(iv) , management contractor, subcontractor^(iv) between 1st January 2012 and the Bid submission deadline.</p> <p>OR</p> <p>A minimum number of Two (2) similar⁽ⁱⁱ⁾ contracts each of 49 nos. Escalators or above, comprising of, Design, Manufacture, Supply, Installation, Testing and Commissioning of Heavy duty Escalators for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport other large infrastructure works involving large public gathering/ movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and substantially⁽ⁱⁱⁱ⁾ completed as a prime contractor (single entity or JV member)^(iv) , management contractor, subcontractor^(iv) between 1st January 2012 and the Bid submission deadline.</p> <p>OR</p> <p>A minimum number of Three (3) similar⁽ⁱⁱ⁾ contracts each of 39 nos. Escalators or above, comprising of, Design, Manufacture, Supply, Installation, Testing and Commissioning of Heavy duty Escalators for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport other large infrastructure works involving large public gathering/ movement such as big malls, International convention centers, International stadiums, and large office complexes that have been satisfactorily and</p>					

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		substantially(iii) completed as a prime contractor (single entity or JV member) ^(iv) , management contractor, subcontractor ^(iv) between 1st January 2012 and the Bid submission deadline.					
4.2 (b)	Experience in key activities for Lot-1	<p>For the above or other contracts completed and under implementation as prime contractor (single entity or JV member), management contractor or Subcontractor^{(iv)(vi)} between 1st January 2012 and the Bid submission deadline, a minimum experience in the following key activities (A, B, C) successfully completed;</p> <p><u>Key Activity A:</u></p> <p>Design, Manufacture, and Supply of Machine Room-Less & Gear-Less lifts for Metro Rail/ Suburban Rail/ Main Line Railway/ LRT/ Monorail/ High-speed Rail/ Airport and in satisfactorily operation of at least 47 Lifts for minimum two years outside the country of origin or in India.</p>	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b)/Lot-1 for Lot-1
		<p><u>Key Activity B:</u></p> <p>Installation, Testing and Commissioning of Machine Room-Less & Gear-Less lifts for Metro Rail/ Suburban Rail/ Main Line Railway/ LRT/ Monorail/ High-speed Rail/ Airport of at least 47 Lifts in India.</p>	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b)/Lot-1, for Lot-1
		<p><u>Key Activity C:</u></p> <p>Post DLP, Maintenance of Machine Room-Less & Gear-Less lifts of at least 94 Lifts (in same or multiple contracts) for Metro Rail/ Suburban Rail/ Main line Railway/ LRT/ Monorail/ High-speed Rail/ Airport/ Commercial-Installations for satisfactorily operation for at least five years in India.</p>	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b)/Lot-1 for Lot-1

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
	Experience in key activities for Lot-2	For the above or other contracts completed and under implementation as prime contractor (single entity or JV member), management contractor or Subcontractor ^(iv) ^(vi) between 1st January 2012 and the Bid submission deadline, a minimum experience in the following key activities (A, B, C) successfully completed; Key Activity A: Design, Manufacture and Supply of Heavy Duty Escalators for Metro Rail/ Suburban Rail/ Main line Railway/ LRT/ Monorail/ High-speed Rail/ Airport and in satisfactorily operation of at least 49 Escalators for minimum two years outside the country of origin or in India.	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b)/Lot-1 for Lot-2
		Key Activity B: Installation, Testing and Commissioning of Heavy Duty Escalators for Metro Rail/ Suburban Rail/ Main line Railway/ LRT/ Monorail/ High-speed Rail/ Airport of at least 49 Escalators in India.	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b) for Lot-2
		Key Activity C: Post DLP, Maintenance of least 98 Escalators (in same or multiple contracts) for Metro Rail/ Suburban Rail/ Main Railway/ LRT/ Monorail/ High-speed Rail/ Airport/ Commercial-Installations for satisfactorily operation for at least five years in India.	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP-4.2 (b) for Lot-2
Notes for the Bidder							
<p>(i) A management contractor is a firm which takes on the role of contract management as a “general” contractor of sort could do. It does not normally perform directly the work(s) associated with the Contract. Rather, it manages the work of other (sub) contractors while bearing full responsibility and risk for price, quality, and timely performance of the contract.</p> <p>(ii) The similarity shall be based on the physical size, complexity, methods/technology and/or other characteristics described in Section VI, Employer’s Requirements. Summation of number of small value Contracts (less than the value specified under requirement) to meet the overall requirement will not be accepted.</p> <p>(iii) Substantial completion shall be based on, Either:</p>							

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
80% or more works completed under the Contract. OR Number of Lifts/Escalators commissioned shall be at least equal to 75 lifts / 78 Escalators, in an ongoing contract. (iv) For contracts under which the Bidder participated as a JV member or subcontractor, only the Bidder's share, shall be considered to meet this requirement. (v) In case of a JV, the value of Contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single Contract has been met. Instead, each Contract performed by each member shall satisfy the minimum value of a single Contract as required for single entity. In determining whether the JV meets the requirement of total number of Contracts, only the number of Contracts completed by all members each of value equal or more than the minimum value required shall be aggregated. (vi) The minimum experience requirement for multiple Contracts will be the sum of the minimum requirements for respective individual contracts.							
5. Environmental and Social and Health and Safety (ESHS) Experience and Capacity							
5.1	Certificates	Availability of a valid ISO certification or internationally recognized equivalent (equivalency to be demonstrated by Bidder), and applicable to the worksite: Quality management certificate ISO 9001 Environmental management certificate ISO 14001 Health and safety management certificate ISO 45001	Must meet requirement	N/A	N/A	Leader must meet requirement	Form CER Form CER Form CER
5.2	ESHS Documentation	Availability of in-house policies and procedures acceptable to the Employer for ESHS management: 1. Existence of Ethics Charter. 2. Existence of a system for monitoring compliance with ESHS commitments for the Candidate's Subcontractors and all its partners. 3. Existence of official company procedures for the management of the following relevant points: <ul style="list-style-type: none"> • Health & Safety on worksites; • Traffic management; 	Must meet requirement	N/A	N/A	Leader must meet requirement	Form ESHS and supporting documentation

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
		<ul style="list-style-type: none"> • Hazardous products; • Wastewater (effluents); • Atmospheric emissions, noise and vibrations; • Waste management; Control of infectious and communicable diseases (HIV/AIDS, malaria, etc.).					
5.3	ESHS Experience	Experience of 2 construction contracts over the last 10 years, where major ESHS measures were carried out or are on progress satisfactorily and in compliance with international standards.	Must meet requirement	N/A	N/A	Leader must meet requirement	Form EXP-ESHS with supporting documents (the Bidder shall submit a piece of evidence supporting the ESHS implementation measures)
5.4	Specific ESHS Knowledge Transfer Experience	Experience of one (1) construction contract in developing/ emerging countries over the last five (5) years in which the ESHS knowledge transfer to a local partner or the ESHS capacity building of the Employer's country staff was carried out satisfactorily.	Must meet requirement	N/A	N/A	Leader must meet requirement	Form EXP-ESHS with supporting documents (the Bidder shall submit a piece of evidence supporting the ESHS knowledge transfer or

Criteria			Requirements				Documentation Requirements / Forms
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			
				All Parties Combined	Each Member	One Member	
							capacity building)
5.5	ESHS Dedicated Personnel	Availability of in-house personnel dedicated to ESHS issues: Environmental and Social Manager, and/or Health and Safety Manager.	Must meet requirement	N/A	N/A	Leader must meet requirement	Organizational chart evidencing filled ESHS position(s). In case bidder do not have inhouse personnel for any of ESHS requirements, they should propose independent agency to carry out activities that meeting certification requirements of 1.5.1 & 1.5.2

Note:

1. Ten (10) years/'Last ten (10) years' means the period of last ten (10) years counted from the twenty-eight days prior to 'date for bid submission' (refer Form FB-1). 'Five (5) years/'Last five years' means the period of last five (5) years counted from the twenty-eight days prior to 'date for bid submission'. 'Three (3) years/'Last three years' means the period of last three (3) years counted from the twenty-eight days prior to 'date for bid submission'. eg: Say, if date for bid submission is 15.01.2020, then 'twenty eight days prior to date for bid submission' will be 18.12.2019 and last ten(10) years would mean from 19.12.2009 to 18.12.2019 and last five(5) years would mean from 19.12.2014 to 18.12.2019 and last three(3) years would mean from 19.12.2016 to 18.12.2019.

In case of postponement(s) in 'date for bid submission', if any, last ten (10) years would mean from 19.12.2009 to twenty-eight days prior to postponed 'date for bid submission' and last five (5) years would mean from 19.12.2014 to twenty-eight days prior to postponed 'date for bid submission' and last three (3) years would mean from 19.12.2016 to twenty-eight days prior to postponed 'date for bid submission'.

2. The 'Bidder' would include Joint Venture/ Consortium submitting the bid as well as members of such Joint Venture/ Consortium.
3. For a consortium/JV the credentials (Technical as well as Financial) of all the member(s) having a minimum 26% participation each in JV / consortium will be required to be submitted by the bidder and shall be considered for evaluation. In case of Net Worth, Liquidity & Turnover, the financial credentials will be considered based on the summation of weighted credential of individual members in proportionate to their share in JV/Consortium. In case of consortiums/JV between companies with subsidiaries, the consolidated numbers of the parent company will only be considered. However, in case of technical eligibility, the credentials will be based on the algebraic aggregated credentials of each member. The credential (Technical as well as Financial) of a member who has a less than 15% participation in JV/Consortium shall not be considered.
4. For the participation by Subsidiary company/Parent company with credentials of other Company:
 - i) Bidder in the capacity of a Subsidiary as a single entity is not permitted to use the credential of its Parent Company and/or its sister Subsidiary Company/Companies unless the Bidder participates in bid as JV/Consortium with its Parent Company and/or its sister Subsidiary Company/Companies as a member(s) in JV with minimum 26% participation each for such member(s).
 - ii) Also Bidder in the capacity of a Parent Company as a single entity is not permitted to use the credential of its Subsidiary Company / Companies unless the Bidder participates in bid as JV/Consortium with its Subsidiary Company / Companies as a member(s) in JV/Consortium with minimum 26% participation each for such member(s).
5. However, in case of consortium/JV between companies and their subsidiaries where either the parent or the subsidiary or both is/are (an) Indian company/ companies registered in India, parent company shall be eligible to bid on the basis of credentials of their owned subsidiaries. Subsidiaries shall be eligible to bid on the basis of credentials of their parent company. Here it will be mandatory that the bidders must enter into a consortium/JV agreement with a token

participation of such parent/ subsidiary whose credentials are being sought to be used. This consortium/JV agreement should clearly stipulate that the individual companies i.e. the parent and the JV) shall be jointly and severally responsible and liable for the timely execution of the contract and failure to do so will make all the them liable to the penal conditions of the contract in such case there will be no requirement of the minimum threshold of 26% participation by each member of the consortium/JV.

6. Refer ITB 4.7 of regarding debarment of the Bidder.
7. If a subcontractor's qualification has been taking into account for the qualification of an applicant this subcontractor shall only participate in the respective proposal. If a subcontractor submits an offer in its own name, all offers concerned shall be rejected.

2 Evaluation of Technical and Price Bids

2.1 Technical Bid

In addition to the criteria listed in **ITB 32.1 (a) - (d)** the following criteria shall apply:

2.1.1 Completeness and Responsiveness of the Technical Bid

Completeness and responsiveness of the Technical Bid will be assessed according to the Responsiveness Criteria of **ITB 30.1**

2.1.2 Evaluation of the Completeness of the Proposed Technical Systems and Equipment - Clause By Clause Commentary

The Bidder shall provide a valid and fully compliant proposal for the Lifts and Escalators as detailed in the Part VII:Employer's Requirements. The Bidder shall submit a detailed clause by clause commentary on all the clauses of the Employer's Requirements.

Bidders shall note that their comments to the clause by clause commentary wherever given shall only be in the following form:

- Complied : "Complied" shall be indicated by the bidder where the bidder is able to comply with the clause.
- Noted : Where a clause merely provides information, and no other comment is necessary, "Noted" will suffice.
- Not Complied: Where the bidder is not able to comply fully with the clause or has any observation or proposes an alternative design, "Not Complied" shall be indicated and comments if any of the bidder shall be indicated in detail. All Clauses with status as "Not Complied" shall be included in the Statement of Deviations ('Form FB-10' to Forms of Bid) and shall be priced in Annexure PD-3 of the Pricing Document.

Bidder shall also note that:

- Any comment by the bidder in the Clause by Clause Commentary, other than either of "Complied", "Noted" or "Not Complied" shall be treated as "Not Complied". Unless bidder prices against such clauses in the Annexure-A/ Lot-1 of Pricing Document or Annexure-A/ Lot-2 of Pricing Document, the comment against any clause shall be deemed to have been unconditionally withdrawn with no financial implications and shall be considered as NULL and VOID.
- Any "Not Complied" comment by the bidder in the Clause by Clause Commentary which has not been included in the Statement of Deviations (Form FB-10 to Forms of Bid) shall be treated as "Complied".
- Any "Not Complied" comment by the bidder in the Clause By Clause Commentary which has also been included in the Statement of Deviations (Form FB-10 to Forms of Bid) but has not been priced in Annexure-A/ Lot-1 of Pricing Document or Annexure-A/ Lot-2 of Pricing

Document shall be treated as null and void and deemed to have been unconditionally withdrawn.

- In case price for unqualified withdrawal of any remark, comment, condition, qualification or deviation etc. indicated in Form FB-10 ('A', 'B' and 'C') is not quoted in Annexure-A/ Lot-1 of Pricing Document or Annexure-A/ Lot-2 of Pricing Document, it shall be considered that the remark, comment, condition, qualification or deviation is unconditionally withdrawn without any financial implication. However, Employer at its sole discretion and option may assess the financial implication of the said remark, comment, condition, qualification or deviation etc. based on best engineering principles and concepts, which shall be binding on the bidder, and the same may be considered by Employer for financial evaluation.
- If the bidder has included deviation from the Bid documents, which would render the works, or any part thereof, unfit for their intended purpose, bid of that bidder will be deemed non-conforming and shall be rejected.
- The Employer reserves the right to accept or reject any variation or deviation. Variations, deviations, and other factors which are in excess of the requirements of the bid documents or otherwise result in the accrual of unsolicited benefits to the Employer shall not be taken into account in bid evaluation.

A bid without a Clause by Clause Commentary FORM COF/Lot-1 and FORM COF/Lot-2, as stated above, is liable to be rejected.

The Bidder is required to duly fill the clause by clause Commentary in the format given below in this clause.

Form COF - Conformance Matrix

Clause No.	Description	CONFORMANCE LEVEL (Complied/ Noted/ Not complied)	BIDDER'S DETAIL COMMENTS <i>(in case of non-complied, detail comment describing reason shall be filled)</i>

2.1.3 Assessment of Adequacy of Technical Proposal with Requirements

The assessment of the Technical Proposal submitted by a Bidder shall comprise

- (a) the evaluation of the Bidder’s plan to mobilize and deploy key personnel (see 2.1.5),
- (b) the evaluation of the proposed design, manufacturing, delivery, testing and commissioning methods,
- (c) the evaluation of the proposed design, manufacturing, delivery, testing and commissioning schedules,
- (d) the evaluation of the proposed detailed supply sources,

- (e) evaluation of workable methodology and programme for Indigenization as mentioned in ITB 5.4 including indigenous manufacturing of equipment as per Chapter 1 of Section VII A. Employer's Requirements – General Specification, and

in accordance with requirements specified in [Section VII, Employer's Requirements](#).

A Bid not comprising Technical Proposal or a Bid for which the Technical Proposal is not substantially responsive (i.e., with material deviation, reservation or omission) shall be rejected.

2.1.4 Assessment of Adequacy of the Environmental, Social, Health and Safety (ESHS) Methodology

The ESHS Methodology submitted by the Bidder shall be evaluated to determine whether it is substantially responsive (i.e., without material deviation, reservation or omission) to the requirements specified in [Section VII, Employer's Requirements](#) - ESHS Specifications. The Bidder shall use the ESHS Methodology Form provided for this purpose in [Section IV, Qualification and Bidding Forms](#). A Bid not comprising an ESHS Methodology or a Bid for which the ESHS Methodology is not substantially responsive (i.e. with material deviation, reservation or omission) shall be rejected.

2.1.5 Personnel

The Bidder's personnel shall be evaluated to determine whether it is substantially responsive (i.e., without material deviation, reservation or omission) to the requirements specified in the following requirements:

A) For Lot-1 Lifts

S. No	Position	Minimum No. of Staff requirement	Experience in Years	
			Total Work	Similar Works
1.	Chief Project Manager <i>(to be Contractor's Representative under GC 4.3)</i>	1	15	7
2.	Interface Manager	1	10	5
3	Interface Engineer	2*	5	3
4	Installation Manager	1	10	5
5	Installation Engineer	2*	5	3
6	T&C Manager	1	10	5
7	T&C Engineer	2*	5	3
8	Quality Manager	1	10	5
9	Design Manager	1	10	5
10	Chief SHE Manager	1	10	5

The Similar work for this contract shall mean experience in Metro / Railway / Other infrastructure projects having the similar nature of work. The resources will be deployed after prior approval of employer.

The Bidder shall provide details of the proposed personnel and their experience records in Form PER-1/Lot-1 and Form PER-2/Lot-1 in Section IV, Bidding Forms. A Bid not including proposed personnel or a Bid for which the proposed personnel is not substantially responsive (i.e., with material deviation, reservation or omission) shall be rejected.

All the above said key positions shall be deployed at site in Ahmedabad / Gandhinagar and should have minimum of Graduation degree in Engineering in respective field. For positions in Sr. No. 3, 5 and 7 above Diploma with (+4) years of additional experience in respective field is also acceptable. Please note that in case GMRCL/GEC judges that the continuation of any person of the tenderer including its subcontractor(s) is not in the interest of project, a written notice will be given to tenderer, who has to promptly remove the person within a week. GMRCL/GEC can withdraw the approval of any persons at any stage during execution.

* The numbers shall be increased as per instructions of the Engineer (at no additional cost to the employer) in case the contractor is not able to achieve progress as per key-dates.

B) For Lot-2 Escalators

S. No	Position	Minimum No. of Staff requirement	Experience in Years	
			Total Work	Similar Works
1.	Chief Project Manager (to be Contractor's Representative under GC 4.3)	1	15	7
2.	Interface Manager	1	10	5
3	Interface Engineer	2*	5	3
4	Installation Manager	1	10	5
5	Installation Engineer	2*	5	3
6	T&C Manager	1	10	5
7	T&C Engineer	2*	5	3
8	Quality Manager	1	10	5
9	Design Manager	1	10	5
10	Chief SHE Manager	1	10	5

The Similar work for this contract shall mean experience in Metro / Railway / Other infrastructure projects having the similar nature of work. The resources will be deployed after prior approval of employer.

The Bidder shall provide details of the proposed personnel and their experience records in Form PER-1/Lot-2 and Form PER-2/Lot-2 in Section IV, Bidding Forms. A Bid not including proposed personnel or a Bid for which the proposed personnel is not substantially responsive (i.e., with material deviation, reservation or omission) shall be rejected.

All the above said key positions shall be deployed at site in Ahmedabad / Gandhinagar and should have minimum of Graduation degree in Engineering in respective field. For positions in Sr. No. 3, 5 and 7 above Diploma with (+4) years of additional experience in respective field is also acceptable. Please note that in case GMRCL/GEC judges that the continuation of any person of the tenderer including its subcontractor(s) is not in the interest of project, a written notice will be given to tenderer, who has to promptly remove the person within a week. GMRCL/GEC can withdraw the approval of any persons at any stage during execution.

2.2 * The numbers shall be increased as per instructions of the Engineer (at no additional cost to the employer) in case the contractor is not able to achieve progress as per key-dates.Financial Bid

2.2.1 Completeness and Responsiveness of the Financial Bid

Financial Bids not including the documents as per ITB 11.1 (c) will lead to an immediate rejection of the Bid.

2.2.2 Established Bid Price

In addition to the steps listed in **ITB 42.2 (a) – (e)** the following methods of adjusting the Bid Prices will apply to arrive at the lowest Established Bid Price for each Bid.

2.2.2.1 Time Schedule

Time to complete the Plant and Installation Services from the effective date specified in the Contract Data for determining time for completion of pre-commissioning activities is: Refer Attachment to Part-A contract Data of Section IX (PC). No credit will be given for earlier completion.

2.2.2.2 Deleted

2.2.2.3 Operation and Maintenance Costs

Operation and maintenance costs of the facilities being procured are not taken into consideration for the evaluation of the Financial Bids.

2.2.2.4 End-of-Life Costs

End-of-Life costs of the assets being procured are not taken into consideration for the evaluation of the Financial Bids.

2.2.2.5 Deleted

2.2.2.6 Specific Additional Criteria

No additional criteria will be used in the evaluation.

2.2.2.7 Domestic Preference / Margin of Preference- **Deleted**

2.2.2.8 Multiple Contracts (ITB 38.4):

“Lot-1 Lifts and Lot-2 Escalators:

Bidders have the option to bid for any one or both lots. Bids will be evaluated lot wise, taking into account discounts offered, if any, for combined lots. The Contract(s) will be awarded to the Bidder or Bidders offering the lowest evaluated cost to the Employer for combined lots, subject to the selected Bidder(s) meeting the required qualification criteria for a lot or combination of lots as the case may be.”

2.2.2.9 Technical Alternatives

Not applicable.

2.2.2.10 Alternative Completion Times

Not applicable.